

NOTICE**NODAL
EXCHANGE**

Respondent: TFS ENERGY FUTURES LLC

NFA ID
Number: 0304099

Case
Number: CD213

Date Action
Is Final: June 29, 2023

Date Action
Is Effective: June 29, 2023

Disposition: Summary

Barrable: No

Committee: COMPLIANCE DEPARTMENT

Penalty: \$1,000.00 fine

Rules: 4.6.2 - Block Trades
4.6.2(f) - Block Trades

Description of Conduct:

In February 2023, TFS Energy Futures LLC reported a block trade to the Exchange later than fifteen (15) minutes after execution, in violation of Exchange Rule 4.6.2(f). The violation did not result in financial harm to customers.