

NOTICE**NODAL
EXCHANGE**

Respondent:	IVG ENERGY LTD
NFA ID Number:	0391125
Case Number:	CD293
Date Action Is Final:	September 25, 2025
Date Action Is Effective:	September 25, 2025
Disposition:	Summary
Barrable:	No
Committee:	COMPLIANCE DEPARTMENT
Penalty:	\$1,000.00 fine
Rules:	4.6.2 - Block Trades 4.6.2(f) - Block Trades

Description of Conduct:

On February 7, 2025, IVG Energy Ltd. reported a block trade to the Exchange later than fifteen (15) minutes after execution, in violation of Exchange Rule 4.6.2(f). The violation did not result in financial harm to customers.