

NOTICE**NODAL
EXCHANGE**

Respondent: EVOLUTION MARKETS FUTURES LLC

NFA ID
Number: 0410195

Case
Number: CD209

Date Action
Is Final: December 15, 2023

Date Action
Is Effective: December 15, 2023

Disposition: Summary

Barrable: No

Committee: COMPLIANCE DEPARTMENT

Penalty: \$5,250.00 fine

Rules: 4.6.2 - Block Trades
4.6.2(f) - Block Trades

Description of Conduct:

From November 2022 to January 2023, Evolution Markets Futures LLC reported three block trades to the Exchange later than fifteen (15) minutes after execution, in violation of Exchange Rule 4.6.2(f). The violations did not result in financial harm to customers.