

**NOTICE****NODAL  
EXCHANGE**

Respondent: TFS ENERGY FUTURES LLC

NFA ID  
Number: 0304099

Case  
Number: 2019-8-8-CD084

Date Action  
Is Final: July 2, 2020

Date Action  
Is Effective: July 2, 2020

Disposition: Summary

Barrable: No

Committee: COMPLIANCE DEPARTMENT

Penalty: \$1000 fine

Rules: 4.6.2 - Block Trades  
4.6.2(f) - Block Trades

**Description of Conduct:**

On one occasion in June 2019, TFS Energy Futures LLC reported a block trade to the Exchange later than fifteen (15) minutes after execution, in violation of Exchange Rule 4.6.2(f). This violation did not result in financial harm to customers.