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June 18, 2018

VIA Portal

Commodity Futures Trading Commission
Attention: Chris Kirkpatrick, Secretary
Three Lafayette Centre
1155 21st Street, NW
Washington, DC 20581

Re: CFTC Regulation 40.6(a) Certification: Modifications to the Exchange Fee Schedules for Futures and Options

Dear Mr. Kirkpatrick:

Nodal Exchange, LLC (“Nodal Exchange” or “Exchange”) is hereby providing notice to the Commodity Futures Trading Commission (“CFTC” or “Commission”) pursuant to CFTC Regulation 40.6(a) of changes to the Exchange and Clearing Transaction Fee Schedule for Nodal Exchange Futures and Options. This filing is effective immediately and the Exchange intends to implement the modifications to the fee schedule on July 2, 2018.

Attached hereto are the modified Fee Schedules that will be applicable to Henry Hub Futures and Options. Substantive material proposed to be added to the Fee Schedules as currently in effect is underlined and material proposed to be deleted is marked in strikethrough text.¹

Exchange management has assessed the Exchange and Clearing Transaction Fee Schedule for Nodal Exchange Futures and Options and its compliance with applicable provisions of the Commodity Exchange Act (“Act”), including the Commissions Regulations and the Core Principles. Nodal Exchange has identified that the transaction fee schedules may affect the following Core Principles as follows:

Core Principle 2 – Compliance with the Rules: The Exchange and Clearing Transaction Fee Schedule for Nodal Exchange Futures and Options is applicable to all Nodal Exchange Participants.

Core Principle 7 – Availability of General Information: The Exchange and Clearing Transaction Fee Schedule for Nodal Exchange Futures and Options is posted on the Exchange website:
www.nodalexchange.com.

Pursuant to Section 5c(c) of the Act and the Commission’s Regulation 40.6, the Exchange certifies that the Exchange and Clearing Transaction Fee Schedule for Nodal Exchange Futures and Options complies with the Act and the Commission’s Regulations thereunder. There have not been any opposing views expressed regarding the Transaction Fee Schedules.

¹ Nodal Clear, LLC is submitting a separate filing to revise clearing fees for Henry Hub Futures and Options. Such revisions are also reflected in the attachments.

Nodal Exchange certifies that this submission has been concurrently posted on the Nodal Exchange website at www.nodalexchange.com.

If you have any question or need additional information regarding this submission, please contact me at 703-962-9853 or markotic@nodalexchange.com.

Sincerely,

/s/ Max Markotic

Senior Compliance Manager

Attachments:

Redline of Futures Fee Schedule

Redline of Options Fee Schedule