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part of eex group

February 3, 2021

VIA CFTC PORTAL

Commodity Futures Trading Commission
Attention: Chris Kirkpatrick, Secretary
Three Lafayette Centre
1155 21st Street, NW
Washington, DC 20581

Re: CFTC Regulation 40.6(a) Certification: Notification of Delisting Four (4) Nodal Exchange Energy Futures Contracts in CAISO

Dear Mr. Kirkpatrick:

Nodal Exchange, LLC (“Nodal Exchange” or “Exchange”) is notifying the Commodity Futures Trading Commission (“CFTC” or “Commission”) that it is delisting four power futures contracts in CAISO. Accordingly, the Exchange is self-certifying amendments to Appendix A (Contract Specifications) and Appendix C (Reporting Levels, Position Accountability Levels and Position Limits) of the Nodal Exchange Rulebook pursuant to Commission Regulation 40.6(a). The amendments to Appendices A and C are attached hereto, indicating the specific contracts being delisted by the Exchange.

The Exchange is delisting four dormant CAISO contracts. There is no open interest on these contracts.

CAISO CRAGVIEW_1_GN001 Monthly Day Ahead On-Peak Energy + Congestion
CAISO CRAGVIEW_1_GN001 Monthly Day Ahead Off-Peak Energy + Congestion
CAISO MONA_3_N501 Monthly Day Ahead On-Peak Energy + Congestion
CAISO MONA_3_N501 Monthly Day Ahead Off-Peak Energy + Congestion

Exchange management has assessed the amendments to Appendices A and C, and their compliance with applicable provisions of the Commodity Exchange Act (“Act”), including the Commissions Regulations and the Core Principles. Nodal Exchange has identified that the delisting of the four dormant contacts indicated by amendments to Appendices A and C may affect the following Core Principles as follows:

Core Principle 6 – Emergency Authority: There is no open interest in these contracts, and therefore there will be no market disruption related to their delisting.

Core Principle 7 – Availability of General Information: The Exchange is posting on its website (www.nodalexchange.com) the delisting of the contracts, including the contract specifications for the delisted contracts. Upon delisting, the terms and conditions of these contracts will no longer be available on the Nodal Exchange Rulebook Appendix A – Contract Specifications.

Pursuant to Section 5c(c) of the Act and the Commission’s Regulation 40.6, the Exchange certifies that the delisting of these contracts complies with the Act and the Commission’s Regulations thereunder. There have not been any opposing views expressed regarding these amendments.

Nodal Exchange certifies that this submission has been concurrently posted on the Nodal Exchange website at www.nodalexchange.com.

If you have any question or need additional information regarding this submission, please contact me at 703-962-9853 or markotic@nodalexchange.com.

Sincerely,

/s/ Max Markotic

Director of Compliance

Attachments:

Nodal Exchange Rulebook Appendix A Delisted Contracts
Redline of Nodal Exchange Rulebook Appendix C (relevant sections)