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part of eex group

October 20, 2020

VIA CFTC PORTAL

Commodity Futures Trading Commission
Attention: Chris Kirkpatrick, Secretary
Three Lafayette Centre
1155 21st Street, NW
Washington, DC 20581

Re: CFTC Regulation 40.6(a) Certification: Notification of Delisting (23) Nodal Exchange Environmental Options Contracts

Dear Mr. Kirkpatrick:

Nodal Exchange, LLC (“Nodal Exchange” or “Exchange”) is notifying the Commodity Futures Trading Commission (“CFTC” or “Commission”) that it is delisting 23 environmental options contracts. Accordingly, the Exchange is self-certifying amendments to Appendix A (Contract Specifications) of the Nodal Exchange Rulebook pursuant to Commission Regulation 40.6(a). The amendments to Appendix A are attached hereto, indicating the specific contracts being delisted by the Exchange. The 23 contracts were delisted on October 20, 2020.

The Exchange is delisting 23 dormant contracts. There is no open interest on these contracts.

CSAPR NOX Ozone Szn Grp2 V18 Option
CSAPR TR NOx Annual V18 Option
CSAPR TR SO2 Group 1 V18 Option
CSAPR TR SO2 Group 2 V18 Option
CT REC Class 1 V17 Option
MA REC Class 1 V17 Option
MA SREC Carve Out II V17 Option
MA SREC V17 Option
MD REC Tier 1 V17 Option
MD REC Tier 1 V18 Option
MD SREC V17 Option

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MD SREC V18 Option
NJ REC Class 1 V17 Option
NJ REC Class 1 V18 Option
NJ SREC V17 Option
NJ SREC V18 Option
NP Dual-Qual REC Class 1 V17 Option
PA AEC Tier 1 V17 Option
PA AEC Tier 1 V18 Option
PA SAEC V17 Option
PA SAEC V18 Option
PJM Tri-Qual REC Class 1 V17 Option
PJM Tri-Qual REC Class 1 V18 Option

Exchange management has assessed the amendments to Appendix A, and their compliance with applicable provisions of the Commodity Exchange Act (“Act”), including the Commissions Regulations and the Core Principles. Nodal Exchange has identified that the delisting of the 23 dormant contracts indicated by amendments to Appendix A may affect the following Core Principles as follows:

Core Principle 6 – Emergency Authority: There is no open interest in these contracts, and therefore there will be no market disruption related to their delisting.

Core Principle 7 – Availability of General Information: The Exchange is posting on its website (www.nodalexchange.com) the delisting of the contracts, including the contract specifications for the delisted contracts. Upon delisting, the terms and conditions of these contracts will no longer be available on the Nodal Exchange Rulebook Appendix A – Contract Specifications.

Pursuant to Section 5c(c) of the Act and the Commission’s Regulation 40.6, the Exchange certifies that the delisting of these contracts complies with the Act and the Commission’s Regulations thereunder. There have not been any opposing views expressed regarding these amendments.

Nodal Exchange certifies that this submission has been concurrently posted on the Nodal Exchange website at www.nodalexchange.com.

If you have any questions or need additional information regarding this submission, please contact me at 703-962-9853 or markotic@nodalexchange.com.

Sincerely,

/s/ Max Markotic

Director of Compliance

Attachments:

Nodal Exchange Rulebook Appendix A Delisted Contracts