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part of eex group

January 10, 2020

**VIA Portal**

Commodity Futures Trading Commission  
Attention: Chris Kirkpatrick, Secretary  
Three Lafayette Centre  
1155 21<sup>st</sup> Street, NW  
Washington, DC 20581

**Re: CFTC Regulation 40.6(a) Certification: Amendments to the Exchange and Clearing Transaction Fee Schedule for Nodal Exchange Options**

Dear Mr. Kirkpatrick:

Nodal Exchange, LLC (“Nodal Exchange” or “Exchange”) is hereby providing notice to the Commodity Futures Trading Commission (“CFTC” or “Commission”) pursuant to CFTC Regulation 40.6(a) of amendments to the Exchange and Clearing Transaction Fee Schedule for Nodal Exchange Options. These amendments are being made to expand the fee schedule for Nodal Exchange Options. The fee schedule is attached hereto and indicates the specific text in red that is being amended. This filing is effective immediately and the Exchange intends to implement the amended Rules on January 27, 2020.

Exchange management has assessed the Exchange and Clearing Transaction Fee Schedule for Nodal Exchange Options and its compliance with applicable provisions of the Commodity Exchange Act (“Act”), including the Commissions Regulations and the Core Principles. Nodal Exchange has identified that the fee schedule may affect the following Core Principles as follows:

Core Principle 2 – Compliance with the Rules: The Exchange and Clearing Transaction Fee Schedule for Nodal Exchange Options is applicable to all Nodal Exchange Participants.

Core Principle 7 – Availability of General Information: The Exchange and Clearing Transaction Fee Schedule for Nodal Exchange Options is posted on the Exchange website: [www.nodalexchange.com](http://www.nodalexchange.com).

Pursuant to Section 5c(c) of the Act and the Commission’s Regulation 40.6, the Exchange certifies that the Exchange and Clearing Transaction Fee Schedule for Nodal Exchange Options complies with the Act and the Commission’s Regulations thereunder. There have not been any opposing views expressed regarding the Fee Schedule.

Nodal Exchange certifies that this submission has been concurrently posted on the Nodal Exchange website at [www.nodalexchange.com](http://www.nodalexchange.com).

If you have any question or need additional information regarding this submission, please contact me at 703-962-9853 or [markotic@nodalexchange.com](mailto:markotic@nodalexchange.com).

Sincerely,

/s/ Max Markotic

Director of Compliance

Attachments:

Exchange and Clearing Transaction Fee Schedule for Nodal Exchange Options (Redline)

20-004