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part of eex group

February 5, 2019

VIA CFTC PORTAL

Commodity Futures Trading Commission
Attention: Chris Kirkpatrick, Secretary
Three Lafayette Centre
1155 21st Street, NW
Washington, DC 20581

Re: CFTC Regulation 40.6(a) Certification: Amendments to the Fee Schedules for Environmental Futures and Options

Dear Mr. Kirkpatrick:

Pursuant to Commodity Futures Trading Commission (“Commission” or “CFTC”) Regulation 40.6(a), Nodal Clear, LLC (“Nodal Clear”) hereby notifies the Commission that it plans to modify Nodal Clear’s clearing fee schedules for environmental futures and options (“Fee Schedules”). The modifications to the Fee Schedules shall become effective on February 20, 2019.

Attached hereto are the modified Fee Schedules that will be applicable to environmental futures and options cleared at Nodal Clear.¹ Substantive material proposed to be added to the Fee Schedules as currently in effect is underlined and material proposed to be deleted is marked in strikethrough text.

Nodal Clear management has assessed the Fee Schedules and their compliance with applicable provisions of the Commodity Exchange Act (“CEA”), including the Commission’s Regulations and DCO Core Principles. Nodal Clear has identified that the Fee Schedules may have some bearing on the following Core Principles:

Core Principle B - Financial Resources: The Fee Schedules will not impact Nodal Clear’s ability to discharge its financial, operational, or managerial responsibilities as a DCO.

Core Principle L - Public Information: As required by Core Principle L, Nodal Clear is publicly posting this self-certification letter on its website to ensure that market participants receive advance notice of the Fee Schedules. On the effective date of the Fee Schedules, the Fee Schedules will be posted on the Nodal Clear website.

Pursuant to Section 5c(c)(1) of the CEA and the Commission’s Regulation 40.6(a), Nodal Clear certifies that the Fee Schedules set forth in the attachments comply with the CEA and the Commission’s Regulations thereunder. Nodal Clear is not aware of any opposing views expressed regarding the Fee Schedules. Nodal Clear certifies that this submission has been concurrently posted on the Nodal Clear website at www.nodalclear.com.

If you have any questions or need additional information regarding this submission, please contact me at 703-962-9864 or alvarez@nodalexchange.com.

Sincerely,

/s/Cody Alvarez

Cody Alvarez
Chief Compliance Officer
Corporate Counsel

¹ Nodal Exchange, LLC is submitting a separate filing for exchange fees for environmental futures and options. Such fees are also reflected in the attachments.

Attachments:

- Redline of Environmental Futures Fee Schedule
- Redline of Environmental Options Fee Schedule