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November 8, 2019

## **VIA PORTAL**

Commodity Futures Trading Commission Attention: Christopher Kirkpatrick, Secretary Three Lafayette Centre 1155 21<sup>st</sup> Street, NW Washington, DC 20581

Re: CFTC Regulation 40.6(a) Certification: Submission of Amendments to the Nodal Exchange delivery schedule and last trading day for Nodal Contracts, based on environmental products, with November 2019 expiries.

Dear Mr. Kirkpatrick:

Nodal Exchange, LLC ("Nodal Exchange" or "Exchange") is notifying the Commodity Futures Trading Commission ("CFTC" or "Commission") that it is self-certifying amendments to the delivery schedule and last trading day for November 2019 futures expiries of Nodal Contracts based on environmental products pursuant to Commission Regulation 40.6(a). The Exchange intends to implement the amended delivery schedule and last trading day in 10 business days on November 22, 2019.

For Nodal futures contracts based on environmental products, which are physically delivered, the delivery day is the last business day of the month. For November 2019, the last business day of the month is Wednesday November 27, 2019 as Nodal Exchange is closed on Thursday November 28th and Friday November 29th for the Thanksgiving holiday. However, to align with the market, Nodal Exchange will treat Friday November 29th as the last business day of the month and the delivery day for environmental products. Therefore, Nodal is changing the delivery day for November 2019 futures expiries of Nodal Contracts based on environmental products from Wednesday November 27th to Friday November 29th. In addition, since the last trading day is three business days prior to the delivery day, the last trading day will be Monday November 25, 2019.

Exchange management has assessed the amendments to the delivery schedule and last trading day, and their compliance with applicable provisions of the Act, including the Commissions Regulations and the Core Principles. Nodal Exchange has identified that the amendments may affect the following Core Principles as follows:

<u>Core Principle 2 - Compliance with the Rules</u>: The amendments to the Rules provide clarity and consistency with the Act for compliance with the Rules.

<u>Core Principle 7 – Availability of General Information:</u> The amendments to the delivery day and last trading day, provide clarity to Exchange Participants. This notification is available to market authorities, Participants, Authorized Brokers and the public on the Exchange website.

Pursuant to Section 5c(c) of the Act and the Commission's Regulation 40.6, the Exchange certifies that the amended delivery schedule and last trading day comply with the Act and the Commission's Regulations thereunder. There have not been any opposing views expressed regarding the amendments to the delivery schedule and last trading day.

Nodal Exchange certifies that this submission has been concurrently posted on the Nodal Exchange website at <a href="https://www.nodalexchange.com">www.nodalexchange.com</a>.

If you have any question or need additional information regarding this submission, please contact me at 703-962-9853 or <a href="mailto:Markotic@nodalexchange.com">Markotic@nodalexchange.com</a>.

Sincerely,

/s/ Max Markotic

**Director of Compliance**