



Nodal Clear, LLC is a derivatives clearing organization, wholly owned by Nodal Exchange, providing clearing services for derivatives exchanges. Nodal Exchange is a derivatives exchange providing price, credit, and liquidity risk management to participants in North American energy and environmental markets. Nodal Exchange is a leader in innovation, having introduced the world's largest set of electric power locational (nodal) futures contracts. Nodal Exchange has the majority of U.S. power futures open interest representing over \$55 billion of open interest (both sides) at the end of 2020. Nodal Exchange also offers natural gas contracts and the world's largest set of environmental futures and options. All transactions on Nodal Exchange are cleared through Nodal Clear using a portfolio margining approach that provides participants significant risk management and capital efficiency advantages.

Nodal Clear seeks talented and motivated applicants for the following role in Tyson's Corner, VA (DC Metro area):

Compliance Analyst

The Compliance Analyst, reporting to the Nodal Clear Chief Compliance Officer, will play an important role in ensuring Nodal Clear meets its compliance obligations. The Compliance Analyst will work with Nodal Compliance and Legal Teams, and teams at other exchanges that clear through Nodal Clear, on compliance and regulatory matters primarily subject to Commodity Futures Trading Commission ("CFTC") jurisdiction.

As a key member of the Nodal Clear Compliance Team, the Compliance Analyst will:

- Respond to internal/external audits and regulatory inquiries/examinations.
- Engage with Nodal management and staff to address clearing house compliance/regulatory matters.
- Prepare reports and presentations for management.
- Coordinate and prepare regulatory filings.
- Review and help maintain compliance policies and procedures.
- Correspond with regulators on routine matters.
- Obtain a thorough understanding of the policies and procedures that apply to various business units.

Preferred Professional Qualifications and Skills

- Bachelor's degree, preferably in Finance, Economics, or Business.
- At least several years of related experience in compliance, regulation, or finance.
- Experience or demonstrated interest in the financial markets, particularly futures.
- Knowledge of futures industry rules, regulations and guidelines preferred.
- Excellent written and verbal communication skills required.
- Attention to detail and a strong sense of ownership and accountability.
- Motivated self-starter with a positive attitude.
- Experience with FCMs, FIA, CFTC, NFA, SEC, or FINRA considered a plus.

Application Requirements

- Resume
- Authorized to work in the United States

Nodal Exchange is an equal employment opportunity/affirmative action employer and considers qualified applicants for employment without regard to race, gender, age color, religion, national origin, marital status, disability, sexual orientation, or any other protected factor. Please send an email of interest with your resume to employment@nodalexchange.com