



Enterprise Risk Manager

Nodal Exchange, the largest power futures exchange in North America, is a derivatives exchange providing price, credit and liquidity risk management to participants. Nodal Exchange is a leader in innovation, having introduced the world's largest sets of environmental and electric power futures and options contracts. All transactions on Nodal Exchange (power, environmental and natural gas) are cleared through its wholly owned subsidiary, Nodal Clear, using its award-winning portfolio margining methodology. As leaders in innovation, Nodal Exchange and Nodal Clear have built in-house most of the trading and clearing platforms that fuel our business. Nodal Exchange is part of the EEX Group which is in turn part of the Deutsche Börse Group.

We are now looking for talented, innovative individuals to join our team in Tyson's Corner, VA (DC Metro area).

Enterprise Risk Manager

The Enterprise Risk Manager reports to the CEO and to the Board of Directors. In this independent role, the Enterprise Risk Manager is responsible for managing diverse global risks to protect Nodal employees, operations, and customers that are critical to Nodal's strategic objectives and Business Continuity. The Enterprise Risk Manager is a second line of defense role responsible for the execution of firmwide operational risk management standards and processes, and oversight of first line of defense operational risk framework.

Responsibilities:

- Build, execute, and maintain the enterprise risk management framework, including implementing process improvement initiatives.
- Identify global set of risks and develop risk management strategies to ensure that Nodal's major risks and opportunities are understood and managed to support sound decision making and high standards of governance.
- Develop, review, and maintain operational (non-financial and non-investment, e.g.) risk related policies and procedures, and make recommendations for changes.
- Support the creation of a strong risk and resilience culture to ensure value creation and value protection, collaborating with the business to find opportunities to integrate risk principles into Nodal's way of operating.
- Implement enterprise and operational risk management policies and programs with process and risk owners in the first line of defense. This includes, but is not

- limited to, comprehensive identification, tracking, measurement, mitigation, resolution and reporting of risks, issues, and controls effectiveness.
- Partner with the business to monitor emerging risks and extend risk management principles into Nodal's business strategy, including supporting the business with robust risk assessments for key strategic/operational initiatives.
 - Execute independent risk assessments as a member of the second line of defense and provide regular reporting to senior management and the Board of Directors.
 - Review external events and emerging risks to ensure they are considered and managed accordingly by the first line of defense.
 - Develop risk training programs and workshops.
 - Assist with risk-related audits and examinations.
 - Maintain knowledge of best practices, trends in the markets and their impact on Nodal.
 - Evaluate various areas including controls assessments, issues identification, and action plan management in order to mitigate risk issues.
 - Identify business and regulatory issues; recommend improvements.

Requirements:

- Bachelor's Degree in relevant field preferred.
- Certifications in risk management and financial services preferred (CRMA, CERP, CCRA). Masters degrees would be a plus depending upon the field of study.
- 5-7 years relevant prior experience required.
- Experience in various areas of operational risk, preferably within a financial services organization including, but not limited to the following: Operations, Finance, Technology, Business Continuity, and Third-Party Vendor/Supplier Management
- Good working knowledge of operational risk frameworks and controls and understanding of the COSO internal controls framework
- Experience with a GRC (Governance Risk Compliance) platform and associated reporting.

Preferred:

- Experience at an exchange, clearing house, or industry participant

Physical requirements:

- Prolonged periods of sitting and/or standing at a desk

What We Offer Our Employees:

- A collaborative workplace culture where we value your contributions and ideas
- A comprehensive benefits plan which includes, but not limited to immediate access to low-cost health insurance plans (highly company subsidized with an employee cost as low as \$10/month), a retirement savings plan with a

competitive company match, and PTO that includes vacation, holidays, floating holidays, and unlimited sick leave, and company-paid life and disability insurance

- Contemporary workspaces designed to inspire innovative thinking in a fun, relaxed environment
- Catered weekly breakfast and lunch
- Free full-service gym access onsite
- Workplace flexibility - we value work/life balance, designed to fit your team, and your and your family's needs

Nodal Exchange, LLC does not discriminate on the basis of race, color, religion, sex, gender, sexual orientation, gender identify or expression, pregnancy, parental status, marital status, citizenship, national origin, age, disability, genetic information, military status, veteran status, physical or mental health, hairstyle historically associated with race, or any other characteristic protected by federal, state or local law with respect to recruitment, hiring, training, promotion, or in any other terms and conditions of employment. Nodal Exchange is an E-verify participant.