

NOTICE**NODAL
EXCHANGE**

Respondent: EVOLUTION MARKETS FUTURES LLC

NFA ID
Number: 0410195

Case
Number: CD206

Date Action
Is Final: April 13, 2023

Date Action
Is Effective: April 13, 2023

Disposition: Summary

Barrable: No

Committee: COMPLIANCE DEPARTMENT

Penalty: \$2,250.00 fine
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Rules: 4.6.2(f) - Block Trades

Description of Conduct:

In November 2021 and December 2021, Evolution Markets Futures LLC reported a block trade to the Exchange later than fifteen minutes after execution, in violation of Exchange Rule 4.6.2(f). (These violations did not result in financial harm to customers)