



NFA ID: 0445699

## MAREX SPECTRON INTERNATIONAL LIMITED

### NFA Member Approved

#### CFTC Registered

Introducing Broker

#### Activity Status

Access activity information provided by the firm.

[View Details](#)

#### Business Address

155 Bishopsgate  
Level 5  
London, EC2M 3TQ  
United Kingdom

#### Phone Number

44-207-655-6000

#### Doing Business As

MAREXSPECTRON

#### Formerly Known As

SPECTRON ENERGY SERVICES LTD

## NODX Case #: 2020-1-29-CD091

**Respondent:** MAREX SPECTRON INTERNATIONAL LIMITED (NFA ID: 0445699)

Effective Date: 10/01/2020

**Narrative Summary:**

On one (1) occasion in October 2019, Marex Spectron International Limited reported a block trade to the Exchange later than fifteen (15) minutes after execution, in violation of Exchange Rule 4.6.2(f). (This violation did not result in financial harm to customers)

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**Rule Type:**

- 4.6.2(f) - Block Trades
- 4.6.2 - Block Trades

**Committee:**

- Compliance Department

**Action Type(s):**

- TRADE PRACTICE

**Penalty/Event:**

- FINE PAID \$1000