



NFA ID: 0314205

## ICAP ENERGY LLC

### NFA Member Approved

#### CFTC Registered

Introducing Broker

#### Activity Status

Access activity information provided by the firm.

[View Details](#)

#### Business Address

200 Vesey St  
6th Fl  
New York, NY 10281  
United States

#### Phone Number

212-208-3806

#### Doing Business As

ICAP FINANCIAL MARKETS  
ICAP FINANCIAL MARKETS DIVISION OF ICAP ENERGY LLC

#### Formerly Known As

APB FINANCIAL LLC

## NODX Case #: 2019-1-29-CD095

**Respondent:** ICAP ENERGY LLC (NFA ID: 0314205)

Effective Date: 09/30/2020

**Narrative Summary:**

On three (3) occasions during October 2019, ICAP Energy LLC reported block trades to the Exchange later than fifteen (15) minutes after execution, in violation of Exchange Rule 4.6.2(f). (These violations did not result in financial harm to customers)

[Read Less](#)

**Rule Type:**

- 4.6.2(f) - Block Trades
- 4.6.2 - Block Trades

**Committee:**

- Compliance Department

**Action Type(s):**

- TRADE PRACTICE

**Penalty/Event:**

- FINE PAID \$3750