



NFA ID: 0445107

## AMEREX BROKERS LLC

### NFA Member Approved

#### CFTC Registered

Introducing Broker

#### IB Guarantor

MINT BROKERS

### Activity Status

Access activity information provided by the firm.

[View Details](#)

### Business Address

1 Sugar Creek Center Blvd

Suite 700

Sugar Land, TX 77478

United States

### Phone Number

212-829-4828

### Doing Business As

AMEREX RETAIL ENERGY SERVICES LTD

# NODX Case #: CD167

**Respondent:** AMEREX BROKERS LLC (NFA ID: 0445107)

Effective Date: 02/13/2023

**Narrative Summary:**

On one occasion in December 2021, Amerex Brokers LLC reported a block trade to the Exchange later than fifteen (15) minutes after execution, in violation of Exchange Rule 4.6.2(f). (This violation did not result in financial harm to customers)

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**Rule Type:**

- 4.6.2 - Block Trades
- 4.6.2(f) - Block Trades

**Committee:**

- Compliance Department

**Action Type(s):**

- OFFICE RECORDKEEPING

**Penalty/Event:**

- FINE PAID \$1,000