

Nodal Exchange, LLC Rulebook Appendix C: Reporting Levels, Accountability Levels and Position Limits

Physical Commodity Code	Contract Name	Reporting Level	Spot Month Position Limit (lots)	Single Month Accountability Level (lots)	All Month Accountability Level (lots)	Aggregation Group*	CFTC Referenced Contract
GERE	GER Future	25	30000	30000	30000		0
MAE	MA REC Class 1 V21 Future	25	15000	15000	15000		0
MBE	MD REC Tier 1 V21 Bankable Future	25	22500	22500	22500	325	0
MCE	MA SREC Carve Out II V21 Future	25	37380	37380	37380		0
MDE	MD REC Tier 1 V21 Future	25	22500	22500	22500		0
MEE	MA REC Class 2 V21 Future	25	2000	2000	2000		0
MGE	MA REC Class 2 WFE V21 Future	25	4350	4350	4350		0
MHE	MD REC Tier 2 V21 Future	25	13600	13600	13600		0
MSG	MA SREC V23 Future	25	10000	10000	10000		0
MSH	MA SREC V24 Future	25	10000	10000	10000		0
NBE	NJ REC Class 1 V21 Bankable Future	25	47500	47500	47500	324	0
NJE	NJ REC Class 1 V21 Future	25	47500	47500	47500		0
NSD	NJ SREC V20 Future	25	45000	45000	45000		0
OHE	OHIO NON-SOLAR REC V21 FUTURE	25	5000	5000	5000		0
OSE	OHIO SREC V21 Future	25	3000	3000	3000		0
PAE	PA AEC Tier 1 V21 Future	25	22500	22500	22500		0
PBE	PA AEC Tier 1 V21 Bankable Future	25	22500	22500	22500	326	0
PGE	PA AEC Tier 2 V21 Future	25	22500	22500	22500		0
PME	PJM Tri-Qual REC Class 1 V21 Future	25	42500	42500	42500		0
PSE	PA SAEC V21 Future	25	10000	10000	10000		0
RMA	D6 RIN V19 Future	25	354500	354500	354500		0
RMB	D6 RIN V20 Future	25	354500	354500	354500		0
RNA	D4 RIN V19 Future	25	53900	53900	53900		0
RNB	D4 RIN V20 Future	25	53900	53900	53900		0
ROA	D5 RIN V19 Future	25	53900	53900	53900		0
ROB	D5 RIN V20 Future	25	53900	53900	53900		0
RPA	D3 RIN V19 Future	25	8800	8800	8800		0
RPB	D3 RIN V20 Future	25	8800	8800	8800		0
TXG	TX Cmpnc REC Specific V23 Future	25	5000	7500	15000		0
TXH	TX Cmpnc REC Specific V24 Future	25	5000	7500	15000		0
TXI	TX Cmpnc REC Specific V25 Future	25	5000	7500	15000		0
TXJ	TX Cmpnc REC Specific V26 Future	25	5000	7500	15000		0
TXK	TX Cmpnc REC Specific V27 Future	25	5000	7500	15000		0
TXL	TX Cmpnc REC Specific V28 Future	25	5000	7500	15000		0
TXM	TX Cmpnc REC Specific V29 Future	25	5000	7500	15000		0
TXN	TX Cmpnc REC Specific V30 Future	25	5000	7500	15000		0
WAG	GA PGC 3 REC V23 Future	25	28600	28600	28600		0
RGG	CT REC Class 1 V23 Future	25	17500	17500	17500		0
RDG	CT REC Class 2 V23 Future	25	2500	2500	2500		0
REG	CT REC Class 3 V23 Future	25	2000	2000	2000		0
RPC	D3 RIN V21 Future	25	8800	8800	8800		0
RPD	D3 RIN V22 Future	25	8800	8800	8800		0
RNC	D4 RIN V21 Future	25	53900	53900	53900		0
RND	D4 RIN V22 Future	25	53900	53900	53900		0
ROG	D5 RIN V21 Future	25	53900	53900	53900		0
ROD	D5 RIN V22 Future	25	53900	53900	53900		0
RMG	D6 RIN V21 Future	25	354500	354500	354500		0
RMD	D6 RIN V22 Future	25	354500	354500	354500		0
WDF	DC REC Tier 1 V22 Future	25	4000	4000	4000		0
MFG	MA APS AEC V23 Future	25	5290	5290	5290		0
MIG	MA GES-E V23 Future	25	59000	59000	59000		0
MAF	MA REC Class 1 V22 Future	25	15000	15000	15000		0
MEF	MA REC Class 2 V22 Future	25	2000	2000	2000		0
MGF	MA REC Class 2 WFE V22 Future	25	4350	4350	4350		0
MCF	MA SREC Carve Out II V22 Future	25	37380	37380	37380		0
MBF	MD REC Tier 1 V22 Bankable Future	25	22500	22500	22500		0
MDF	MD REC Tier 1 V22 Future	25	22500	22500	22500		0
MHF	MD REC Tier 2 V22 Future	25	13600	13600	13600		0
MRF	MD SREC V22 Future	25	12000	12000	12000		0
MXB	M-RETS REC CRS Wind V21 Back Half Future	25	1300	1300	1300		0
MWB	M-RETS REC CRS Wind V21 Front Half Future	25	1300	1300	1300		0
MXC	M-RETS REC CRS Wind V22 Back Half Future	25	1300	1300	1300		0
MWC	M-RETS REC CRS Wind V22 Front Half Future	25	1300	1300	1300		0
NUE	NAR CRS Solar REC V21 Back Half Future	25	516	516	516		0
NVE	NAR CRS Solar REC V21 Front Half Future	25	516	516	516		0

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NUF	NAR CRS Solar REC V22 Back Half Future	25	516	516	516		0
NVF	NAR CRS Solar REC V22 Front Half Future	25	516	516	516		0
NKE	NAR CRS Wind REC V21 Back Half Future	25	6695	6695	6695		0
NLE	NAR CRS Wind REC V21 Front Half Future	25	6695	6695	6695		0
NKF	NAR CRS Wind REC V22 Back Half Future	25	6695	6695	6695		0
NLF	NAR CRS Wind REC V22 Front Half Future	25	6695	6695	6695		0
NDF	National CRS Wind REC V22 Back Half Future	25	15000	15000	15000		0
NCF	National CRS Wind REC V22 Front Half Future	25	15000	15000	15000		0
NRG	NH REC Class 1 V23 Future	25	2000	2000	2000		0
NTG	NH REC Class 3 V23 Future	25	2000	2000	2000		0
SGF	NJ REC Class 2 V22 Future	25	7350	7350	7350		0
SGG	NJ REC Class 2 V23 Future	25	7350	7350	7350		0
NSE	NJ SREC V21 Future	25	45000	45000	45000		0
NPG	NP Dual-Quat REC Class 1 V23 Future	25	15000	15000	15000		0
NQG	NP Quad-Quat REC Class 1 V23 Future	25	10000	10000	10000		0
NYD	NY REC Tier 1 V23 Future	25	2390	2390	2390		0
OHF	OHIO NON-SOLAR REC V22 FUTURE	25	5000	5000	5000		0
OSF	OHIO SREC V22 Future	25	3000	3000	3000		0
RIG	RI New REC V23 Future	25	2000	2000	2000		0
TBE	TX REC CRS V21 Back Half Future	25	11541	11541	11541		0
TFE	TX REC CRS V21 Front Half Future	25	11541	11541	11541		0
TBF	TX REC CRS V22 Back Half Future	25	11541	11541	11541		0
TFF	TX REC CRS V22 Front Half Future	25	11541	11541	11541		0
TFB	TX Solar REC CRS V21 Back Half Future	25	1076	1076	1076		0
TSB	TX Solar REC CRS V21 Front Half Future	25	1076	1076	1076		0
TFC	TX Solar REC CRS V22 Back Half Future	25	1076	1076	1076		0
TSC	TX Solar REC CRS V22 Front Half Future	25	1076	1076	1076		0
WNE	WREGIS REC CRS Wind V21 Back Half Future	25	4500	4500	4500		0
WME	WREGIS REC CRS Wind V21 Front Half Future	25	4500	4500	4500		0
WNF	WREGIS REC CRS Wind V22 Back Half Future	25	4500	4500	4500		0
WMF	WREGIS REC CRS Wind V22 Front Half Future	25	4500	4500	4500		0

* In addition to the individual position limit of each contract, Nodal Exchange has a separate algorithm to check the combined position limit of contracts within the same aggregation group./** For Options expiring during, or at the close of, the Underlying Contract's delivery period, the combined Underlying Contract and futures-equivalent Options position will be subject to the position limit established for the Underlying Contract./*** Reporting Level for Option is 25.

Revision Date: 20-Mar-25