



Nodal Exchange is a derivatives exchange providing price, credit, and liquidity risk management to participants in the North American energy markets. Nodal Exchange is a leader in innovation, having introduced the world's largest set of electric power locational (nodal) futures contracts. All transactions on Nodal Exchange are cleared through its recently launched clearing house, Nodal Clear, using a portfolio margining approach that provides participants significant risk management and capital efficiency advantages.

Nodal seeks talented and motivated applicants for the following role in Tyson's Corner, VA (DC Metro area):

Compliance Analyst

The Compliance Analyst, reporting to the Nodal Exchange Director of Compliance, will play an important role in monitoring the activities performed on Nodal Exchange to ensure compliance with Exchange rules. The Compliance Analyst will work with the Surveillance, Compliance and Legal Teams on compliance and regulatory matters subject to the Commodity Futures Trading Commission ("CFTC") jurisdiction.

As a key member of the team, the Compliance Analyst will:

- Monitor trading activity and identify potential violations of Exchange rules.
- Conduct inquiries and investigations to enforce Exchange rules.
- Conduct audits to ensure CFTC compliance.
- Enforce financial and trade practice requirements.
- Ensure issues are identified, fully investigated and promptly escalated in a timely manner.
- Prepare reports and presentations for management.
- Assist with regulatory filings.
- Review and help maintain compliance policies and procedures.

Preferred Professional Qualifications

- Bachelor's degree in Finance, Economics, or Business.
- A minimum of one to three years of related experience in compliance, surveillance or finance.
- Knowledge of futures industry rules, regulations and guidelines preferred.
- Ability to initiate and complete quality reviews.
- Excellent written and verbal communication skills required.
- Motivated self-starter with a positive attitude.
- Experience with CFTC, NFA, SEC or FINRA considered a plus.

Application Requirements

- Resume
- Authorized to work in the United States

Nodal Exchange is an equal employment opportunity/affirmative action employer and considers qualified applicants for employment without regard to race, gender, age color, religion, national origin, marital status, disability, sexual orientation, or any other protected factor. Please send an email of interest with your resume to employment@nodalexchange.com